



#### Lecture 11 **Training Neural Networks II University of Michigan | Department of Robotics**







## **Recap:** Activation Functions

Sigmoid:

1. saturated neurons "kill" the gradients

- 2. not zero centered
- 3. exp() computationally expensive

ReLU: 1. does not saturate (in + region) 2. not zero centered 3. computationally efficient

Leaky ReLU: solve "the dying ReLU" problem



 $y = \frac{\sin(x)}{x}$ 



y= max(o1x,x)

y=x(tomh(sofcolus(x)))



### Recap: Data Preprocessing

# Recap: Weight initialization





Glorot and Bengio, "Understanding the difficulty of training deep feedforward neural networks", AISTAT 2010

### "Just right": Activations are nicely scaled for all layers!

## **Recap:** Regularization-- Dropout



Forces the network to have a redundant representation; prevents co-adaptation of features

Dropout is training a large ensemble of models (that share parameters).

Usually, dropout p=0.5



### Data Augmentation



#### Transform image

### Data Augmentation: Horizontal Flips





# Data Augmentation: Random Crops and Scales

Training: sample random crops / scales

### **ResNet:**

- 1. Pick random L in range [256, 480]
- 2. Resize training image, short side = L
- 3. Sample random 224 x 224 patch

**Testing:** average a fixed set of crops

#### **ResNet:**

- 1. Resize image at 5 scales: {224, 256, 384, 480, 640}
- For each size, use 10 224 x 224 crops: 4 corners + center,
   + flips



6, 384, 480, 640} pps: 4 corners + center,

# Data Augmentation: Color Jitter

### Simple: Randomize contrast and brightness



### More complex:

- 1. Apply PCA to all [R, G, B] pixels in training set
- 2. Sample a "color offset" along principal component directions
- 3. Add offset to all pixels of a training image

(Used in AlexNet, ResNet, etc)

## Data Augmentation: RandAugment

```
transforms = [
'Identity', 'AutoContrast', 'Equalize',
'Rotate', 'Solarize', 'Color', 'Posterize',
'Contrast', 'Brightness', 'Sharpness',
'ShearX', 'ShearY', 'TranslateX', 'TranslateY']
def randaugment(N, M):
"""Generate a set of distortions.
  Args:
   N: Number of augmentation transformations to
        apply sequentially.
   M: Magnitude for all the transformations.
11 11 11
```

sampled\_ops = np.random.choice(transforms, N) return [(op, M) for op in sampled\_ops]

### **Apply random** combinations of transforms:

- **Geometric:** Rotate, translate, shear
- **Color:** Sharpen, contrast, brightness, solarize, posterize, color

## Data Augmentation: RandAugment

Magnitude: 9



Original

ShearX

AutoContrast

### **Apply random** combinations of transforms:

- Geometric: Rotate, translate, shear
- Color: Sharpen, contrast, brightness, solarize, posterize, color

### Data Augmentation: Get creative for your problem!

**not** change the network output?

Maybe different for different tasks!

- Data augmentation encodes **invariances** in your model
- Think for your problem: what changes to the image should

## Regularization: A common pattern

**Training**: Add some randomness **Testing**: Marginalize over randomness

**Examples:** 

Dropout Batch Normalization Data Augmentation

## Regularization: DropConnect

**Training**: Drop random connections between neurons (set weight=0) **Testing**: Use all the connections Goal: prevent "co-adaptation" of features

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect

Dropout



### Dropconnect





#### Wan et al, "Regularization of Neural Networks using DropConnect", ICML 2013

# **Regularization: Fractional Pooling**

**Training**: Use randomized pooling regions **Testing**: Average predictions over different samples

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling







Graham, "Fractional Max Pooling", arXiv 2014



## **Regularization: Fractional Pooling**

**Training**: Use randomized pooling regions **Testing**: Average predictions over different samples

Fractional Max Pooling



Figure 2: Top left, 'Kodak True Color' parrots at a resolution of  $384 \times 256$ . The other five images are one-eighth of the resolution as a result of 6 layers of average pooling using disjoint random  $FMP\sqrt{2}$ -pooling regions.

Graham, "Fractional Max Pooling", arXiv 2014





# **Regularization: Stochastic Depth**

**Training**: Skip some residual blocks in ResNet **Testing**: Use the whole network

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling Stochastic Depth

$$H_{\ell} = \operatorname{ReLU}(b_{\ell}f_{\ell}(H_{\ell-1}) + \operatorname{id}(H_{\ell-1}))$$

### Starting to become common in recent architectures:

- Pham et al, "Very Deep Self-Attention Networks for End-to-End Speech Recognition", **INTERSPEECH 2019**
- Tan and Le, "EfficientNetV2: Smaller Models and Faster Training", ICML 2021
- Fan et al, "Multiscale Vision Transformers", ICCV 2021
- Bello et al, "Revisiting ResNets: Improved Training and Scaling Strategies", NeurIPS 2021 • Steiner et al, "How to train your ViT? Data, Augmentation, and Regularization in Vision Transformers", arXiv 2021



# Regularization: CutOut

**Training**: Set random image regions to 0 **Testing**: Use the whole image

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling Stochastic Depth **Cutout / Random Erasing** 

> DeVries and Taylor, "Improved Regularization of Convolutional Neural Networks with Cutout", arXiv 2017 Zhong et al, "Random Erasing Data Augmentation", AAAI 2020



#### **Replace random regions with** mean value or random values

# **Regularization:** Mixup

**Training**: Train on random blends of images **Testing**: Use original images

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling Stochastic Depth Cutout / Random Erasing Mixup





Zhang et al, "*mixup*: Beyond Empirical Risk Minimization", ICLR 2018



Sample blend probability from a beta distribution Beta(a, b) with a=b=0 so blend weights are close to 0/1

Randomly blend the pixels of pairs of training images, e.g. 60% pretzels, 40% robot





#### Target label: Pretzels: 0.6 Robot: 0.4

# Regularization: CutMix

Training: Train on random blends of ima **Testing**: Use original images

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling Stochastic Depth Cutout / Random Erasing Mixup / CutMix





ages		Mixup	Cutout	CutM
	Usage of full image region	V	×	V
	Regional dropout	×	V	V
	Mixed image & label	~	×	V

Replace random crops of one image with another, e.g. 60% of pixels from pretzels, 40% from robot

Yun et al, "CutMix: Regularization Strategies to Train Strong Classifiers with Localizable Features", ICCV 2019



Robot: 0.4



### Target label: Pretzels: 0.6

**Training**: Train on random blends of images **Testing**: Use original images

#### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling Stochastic Depth Cutout / Random Erasing Mixup / CutMix Label Smoothing



Loss is cross-entropy between predicted and target distribution.

## **Regularization: Label Smoothing**



**Standard Training Label Smoothing** Pretzels: 90% Pretzels: 100% Robot: 0% Robot: 5% Sugar: 0% Sugar: 5%

Set target distribution to be  $1 - \frac{K-1}{K} \epsilon$  on the correct category and  $\epsilon/K$ on all other categories, with  $\kappa$  categories and  $\epsilon \in (0,1)$ 





## **Regularization:** Summary

**Training**: Train on random blends of images **Testing**: Use original images

### **Examples:**

Dropout **Batch Normalization** Data Augmentation DropConnect Fractional Max Pooling Stochastic Depth Cutout / Random Erasing Mixup / CutMix Label Smoothing

- Use DropOut for large fully-connected layers
- Data augmentation is always a good idea
- Use BatchNorm for CNNs (but not ViTs)
- Try Cutout, Mixup, CutMix, Stochastic Depth, Label
  - Smoothing to squeeze out a bit of extra
  - performance



### 1. One time setup: Activation functions, data preprocessing, weight initialization, regularization 2. Training dynamics: • Learning rate schedules; large-batch training; hyperparameter optimization **3.** After training: • Model ensembles, transfer learning

### Recap

### Last time





### SGD, SGD+Momentum, Adagrad, RMSProp, Adam all have learning rate as hyper parameter



Q: Which one of these learning rates is best to use?



## Learning Rate Decay: Step





## Learning Rate Decay: Cosine



Loshchilov and Hutter, "SGDR: Stochastic Gradient Descent with Warm Restarts", ICLR 2017 Radford et al, "Improving Language Understanding by Generative Pre-Training", 2018 Feichtenhofer et al, "SlowFast Networks for Video Recognition", ICCV 2019 Radosavovic et al, "On Network Design Spaces for Visual Recognition", ICCV 2019 Child at al, "Generating Long Sequences with Sparse Transformers", arXiv 2019



## Learning Rate Decay: Linear



Devlin et al, "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", NAACL 2018 Liu et al, "RoBERTa: A Robustly Optimized BERT Pretraining Approach", 2019 Yang et al, "XLNet: Generalized Autoregressive Pretraining for Language Understanding", NeurIPS 2019

Cosine: 
$$\alpha_t = \frac{1}{2}\alpha_0(1 + \cos(\frac{t\pi}{T}))$$
  
Linear:  $\alpha_t = \alpha_0(1 - \frac{t}{T})$ 

## Learning Rate Decay: Inverse Sqrt



Cosine: 
$$\alpha_t = \frac{1}{2}\alpha_0(1 + \cos(\frac{t\pi}{T}))$$
  
Linear:  $\alpha_t = \alpha_0(1 - \frac{t}{T})$   
Inverse sqrt:  $\alpha_t = \alpha_0/\sqrt{t}$ 



### Learning Rate Decay: Constant!

**Step:** Reduce learning rate at a few fixed points. E.g. for ResNets, multiply LR by 0.1 after epochs 30, 60, and 90.

Cosine: 
$$\alpha_t = \frac{1}{2} \alpha_0 (1 + \cos(\frac{t\pi}{T}))$$
  
Linear:  $\alpha_t = \alpha_0 (1 - \frac{t}{T})$   
Inverse sqrt:  $\alpha_t = \alpha_0 / \sqrt{t}$ 

**Constant:**  $\alpha_t = \alpha_0$ 

Brock et al, "Large Scale GAN Training for High Fidelity Natural Image Synthesis", ICLR 2019 Donahue and Simonyan, "Large Scale Adversarial Representation Learning", NeurIPS 2019

### How long to train? Early Stopping Train Val Accuracy Loss Stop training here

#### Iteration

Stop training the model when accuracy on the validation set decreases Or train for a long time, but always keep track of the model snapshot that worked best on val. Always a good idea to do this!



Iteration

## Choosing Hyperparameters: Grid Search

Choose several values for each hyper parameter (Often space choices log-linearly)

### **Example:**

Weight decay:  $[1x10^{-4}, 1x10^{-3}, 1x10^{-2}, 1x10^{-1}]$ Learning rate:  $[1x10^{-4}, 1x10^{-3}, 1x10^{-2}, 1x10^{-1}]$ 

Evaluate all possible choices on this hyperparameter grid

## Choosing Hyperparameters: Random Search

Choose several values for each hyper parameter (Often space choices log-linearly)

### **Example:**

Weight decay: log-uniform on [1x10<sup>-4</sup>, 1x10<sup>-1</sup>] Learning rate: log-uniform on [1x10<sup>-4</sup>, 1x10<sup>-1</sup>]

Run many different trials



### Important Parameter

Bergstra and Bengio, "Random Search for Hyper-Parameter Optimization", JMLR 2012

## Hyperparameters: Random vs Grid Search





Radosavovic et al, "On Network Design Spaces for Visual Recognition", ICCV 2019

### DARTS

![](_page_33_Picture_5.jpeg)

-2 0 -3 learning rate (log10)

1.0 0.8 0.6 0.4 0.2 0.0

# Choosing Hyperparameters

### Step 1: Check initial loss

Turn off weight decay, sanity check loss at initialization e.g. log(C) for softmax with C classes

# Choosing Hyperparameters

Step 1: Check initial loss
Step 2: Overfit a small sample

Try to train to 100% training accuracy on a small sample of training data (~5-10 mini batches); fiddle with architecture, learning rate, weight initialization. Turn off regularization.

Loss not going down? LR too low, bad initialization Loss explodes to Inf or NaN? LR too high, bad initialization
Step 1: Check initial lossStep 2: Overfit a small sampleStep 3: Find LR that makes loss go down

Use the architecture from the previous step, use all training data, turn on small weight decay, find a learning rate that makes the loss drop significantly within  $\sim 100$  iterations

Good learning rates to try: 1e-1, 1e-2, 1e-3, 1e-4

**Step 1:** Check initial loss

**Step 2:** Overfit a small sample

**Step 3:** Find LR that makes loss go down

**Step 4:** Coarse grid, train for ~1-5 epochs

Choose a few values of learning rate and weight decay around what worked from Step 3, train a few models for  $\sim 1-5$  epochs

Good learning rates to try: 1e-4, 1e-5, 0

- **Step 1:** Check initial loss
- **Step 2:** Overfit a small sample
- **Step 3:** Find LR that makes loss go down **Step 4:** Coarse grid, train for ~1-5 epochs
- **Step 5:** Refine grid, train longer

Pick best models from Step 4, train them for longer ( $\sim 10-20$  epochs) without learning rate decay

- **Step 1:** Check initial loss
- **Step 2:** Overfit a small sample
- **Step 3:** Find LR that makes loss go down **Step 4:** Coarse grid, train for ~1-5 epochs
- **Step 5:** Refine grid, train longer
- **Step 6:** Look at learning curves

## Look at Learning Curves!





# Bad initialization a prime suspect

time



## Loss plateaus: Try learning rate decay

#### time



Loss was still going down when learning rate dropped, you decayed too early!



#### Accuracy



## Accuracy still going up, you need to train longer



# overfitting! Increase regularization,



No or small gap between train / val means underfitting: train longer, use a bigger model, maybe higher LR

#### time

- **Step 1:** Check initial loss
- **Step 2:** Overfit a small sample
- **Step 3:** Find LR that makes loss go down
- **Step 4:** Coarse grid, train for ~1-5 epochs
- **Step 5:** Refine grid, train longer
- **Step 6:** Look at <del>learning curves</del> loss curves **Step 7:** GOTO step 5

# Hyperparameters to play with:

- Network architecture
- Learning rate, its decay schedule, update type
- Regularization (L2/ Dropout strength)

#### Neural networks practitioner Music = loss function

This image by Paolo Guereta is licensed under CC-BY 2.0



## Cross-validation "command center"

https://wandb.ai/

Save all losses and plot

Tensorboard (tensorflow)



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## Track ratio of weight update / weight magnitude

param scale = np.linalg.norm(W.ravel()) update = -learning rate\*dW # simple SGD update update scale = np.linalg.norm(update.ravel()) W += update # the actual update print update scale / param scale # want ~1e-3

#### Ratio between the updates and values: $\sim 0.0002 / 0.02 = 0.01$ (about okay) want this to be somewhere around 0.001 or so

# assume parameter vector W and its gradient vector dW

## Overview

#### 1. One time setup:

- Activation functions, data preprocessing, weight initialization, regularization
- 2. Training dynamics:
- **3.** After training:

#### • Learning rate schedules; hyperparameter optimization

#### • Model ensembles, transfer learning, large-batch training



## Model Ensembles

- Train multiple independent models
  At test time average their results: (Take average of predicted probability distributions, then choose argmax)
- Enjoy 2% extra performance

# Model Ensembles: Tips and Tricks

#### Instead of training independent models, use multiple snapshots of a single model during training!



Loshchilov and Hutter, "SGDR: Stochastic gradient descent with restarts", arXiv 2016 Huang et al, "Snapshot ensembles: train 1, get M for free", ICLR 2017 Figures copyright Yixuan Li and Geoff Pleiss, 2017. Reproduced with permission.



Cyclic learning rate schedules can make this work even better!



## Model Ensembles: Tips and Tricks

Instead of using actual parameter vector, keep a moving average of the parameter vector and use that at test time (Polyak averaging)

while True: data batch = dataset.sample data batch() loss = network.forward(data batch) dx = network.backward()x += - learning rate \* dx

Polyak and Juditsky, "Acceleration of stochastic approximation by averaging", SIAM Journal on Control and Optimization, 1992. Karras et al, "Progressive Growing of GANs for Improved Quality, Stability, and Variation", ICLR 2018 Brock et al, "Large Scale GAN Training for High Fidelity Natural Image Synthesis", ICLR 201



#### "You need a lot of data if you want to train / use CNNs"

#### What if data is limited?

## Transfer Learning

#### 1. Train on ImageNet

FC-1000
FC-4096
FC-4096
MaxPool
Conv-512
Conv-512
MaxPool
Conv-512
Conv-512
MaxPool
Conv-256
Conv-256
MaxPool
Conv-128
Conv-128
MaxPool
Conv-64
Conv-64
Image



Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014

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Conv-128
MaxPool
Conv-64
Conv-64
Image

#### 2. Use CNN as a feature extractor



Razavian et al, "CNN Features Off-the-Shelf: An Astounding Baseline for Recognition", CVPR Workshops 2014

Prior State of the art CNN + SVM CNN + Augmentation + SVM

#### 1. Train on ImageNet

FC-1000
FC-4096
FC-4096
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MaxPool
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Conv-512
MaxPool
Conv-256
Conv-256
MaxPool
Conv-128
Conv-128
MaxPool
Conv-64
Conv-64
Image

#### 2. Use CNN as a feature extractor



#### Image Retrieval: Nearest-Neighbor

Razavian et al, "CNN Features Off-the-Shelf: An Astounding Baseline for Recognition", CVPR Workshops 2014

#### 1. Train on ImageNet

FC-1000
FC-4096
FC-4096
MaxPool
Conv-512
Conv-512
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Conv-256
Conv-256
MaxPool
Conv-128
Conv-128
MaxPool
Conv-64
Conv-64
Image



## 3. Bigger dataset: **Fine-Tuning**



Continue training CNN for new task!

#### 1. Train on ImageNet

FC-1000
FC-4096
FC-4096
MaxPool
Conv-512
Conv-512
MaxPool
Conv-512
Conv-512
MaxPool
Conv-256
Conv-256
MaxPool
Conv-128
Conv-128
MaxPool
Conv-64
Conv-64
Image



## 3. Bigger dataset: **Fine-Tuning**



Continue training CNN for new task!

#### Some tricks:

- Train with feature extraction first before fine-tuning
- Lower the learning rate: use ~1/10 of LR used in original training
- Sometimes freeze lower layers to save computation
- Train with BatchNorm in "test" mode



#### 1. Train on ImageNet

FC-1000
FC-4096
FC-4096
MaxPool
Conv-512
Conv-512
MaxPool
Conv-512
Conv-512
MaxPool
Conv-256
Conv-256
MaxPool
Conv-128
Conv-128
MaxPool
Conv-64
Conv-64
Image



## 3. Bigger dataset: **Fine-Tuning**



Continue training CNN for new task!

#### **Object Detection**



## Transfer Learning with CNNs: Architecture Matters!

ImageNet Classification Challenge



Improvements in CNN architectures lead to improvements in many downstream tasks thanks to transfer learning!

## Transfer Learning with CNNs: Architecture Matters!

#### **Object Detection on COCO**



Ross Girshick, "The Generalized R-CNN Framework for Object Detection", ICCV 2017 Tutorial on Instance-Level Visual Recognition

101) 152)



	Dataset similar to ImageNet	Dataset very different from ImageNet
little data (10s to 100s)	?	?
e a lot of data Oos to 1000s)	?	?





	Dataset similar to ImageNet	Dataset very different from ImageNet
little data (10s to 100s)	Use Linear Classifier on top layer	?
e a lot of data Oos to 1000s)	Finetune a few layers	?





	Dataset similar to ImageNet	Dataset very different from ImageNet
little data (10s to 100s)	Use Linear Classifier on top layer	?
e a lot of data 00s to 1000s)	Finetune a few layers	Finetune a large number of laye





	Dataset similar to ImageNet	Dataset very different from ImageNet
little data (10s to 100s)	Use Linear Classifier on top layer	You're in trouble Try linear classif from different sta
te a lot of data 00s to 1000s)	Finetune a few layers	Finetune a large number of laye



## Transfer Learning is pervasive! Its the norm, not the exception



Girshick, "Fast R-CNN", ICCV 2015 Figure copyright Ross Girshick, 2015. Reproduced with permission.

Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015

## Transfer Learning is pervasive! Its the norm, not the exception



CVPR 2015

Girshick, "Fast R-CNN", ICCV 2015 Figure copyright Ross Girshick, 2015. Reproduced with permission.
#### Transfer Learning is pervasive! Its the norm, not the exception



- 1. Train CNN on ImageNet
- 2. Fine-Tune (1) for object detection on Visual Genome
- 3. Train BERT language model on lots of text
- 4. Combine (2) and (3), train for joint image / language modeling
- 5. Fine-tune (5) for image captioning, visual question answering, etc.

Zhou et al, "Unified Vision-Language Pre-Training for Image Captioning and VQA", arXiv 2019

#### Transfer Learning is pervasive! Some very recent results have questioned it

#### **COCO** object detection



Training from scratch can work as well as pertaining on ImageNet!

... if you train for 3x as long

He et al, "Rethinking ImageNet Pre-Training", ICCV 2019



### Transfer Learning is pervasive! Some very recent results have questioned it

**COCO** object detection



Pretraining + Finetuning beats training from scratch when dataset size is very small

Collecting more data is more effective than pretraining

He et al, "Rethinking ImageNet Pre-Training", ICCV 2019



### Transfer Learning is pervasive! Some very recent results have questioned it

COCO object detection



My current view on transfer learning:

- Pretrain + finetune makes your training faster, so practically very useful
- Training from scratch works well once you have enough data
- Lots of work left to be done





## Summary

#### 1. One time setup:

- initialization, regularization
- 2. Training dynamics:
- 3. After training:
  - Model ensembles, transfer learning

# • Activation functions, data preprocessing, weight

• Learning rate schedules; hyperparameter optimization



# Next Time: Deep Learning Software





#### Lecture 11 **Training Neural Networks II University of Michigan | Department of Robotics**





